

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98

**PTO-1449 FORM** 

ATTORNEY DOCKET NO.: 38461-6098

SERIAL NO.:

10/040,937

APPLICANTS: Westbrook et al.

Sheet 1 of 2

FILING DATE: December 28, 2001

GROUP ART UNIT: 2171

U.S. PATENT DOCUMENTS							
INIT	X'R TIAL	*REF.#	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
1	2	Α	4,407,290	10/14/86	Wilber	128/633	-04/01/81
	1	В	4,796,636	01/10/89	Branstetter et al. RECEIVE	128/ <del>633</del> —	09/10/87
		С	4,802,485	02/07/89	Bowers et al. NOV 0 4 200	2 -128/633	09/02/87
		D	5,206,807	04/27/93	Hatke et al.	364/413.03	02/16/89
П		E	5,549,655	08/27/96	Erickson Technology Cente	r <b>2100</b> 607/42	09/21/94
П		F	5,591,216	01/07/97	Testerman et al.	607/42	05/19/95
П		G	5,605,151	02/25/97	Lynn	_128/633	02/21/95
		Н	5,820,550	10/13/98	Polson et al.	600/323	03/16/95
П		I	6,045,514	04/04/00	Raviv et al.	600/529	06/16/97
П		J	6,161,030	12/12/00	Levendowski et al.	600/383	02/05/99
J		К	6,240,316	05/29/01	Richmond et al.	607/42	08/06/99
C		L	6,381,481	04/30/02	Levendowski et al.	600/383	01/27/00
			,				

FOREIGN PATENT DOCUMENTS						
† EX'R INITIAL	*REF. #	DOCUMENT NO.	DATE		COUNTRY	TRANSLATION (YES/NO)
R	М	0 743 076	11/20/96	EP		
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EXAMINER'S	SIGNAT	TURE	
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DATE CONSIDERED

9/22/5

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. *Include copy of this form in next communication to applicant.* 

If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

TITLE: SLEEP APNEA RISK EVALUATION



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ATTORNEY	DOCKET	NO.
38461-6098		

SERIAL NO.:

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APPLICANTS: Westbrook et al.

Sheet 2 of 2

FILING DATE: December 28, 2001

GROUP ART UNIT: 2171

OTHER DOCUMENTS						
† EX'R INITIAL	*REF.#	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)				
P	Ν	Davila et al., "Oximeter's Acquisition Settings Influence the Profile of the Respiratory Distrubance Index", Sleep, 23(2):A8-A9 (2000)				
B	0	The Report of an American Academy of Sleep Medicine Task Force, "Sleep-Related Breathing Disorders in Adults: Recommendations for Syndrome Definition and Measurement Techniques in Clinical Research", Sleep, 22(5):667-689 (1999)				
		RECEIVED				
		NOV 0 4 2002				
		Technology Center 210				
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EXAMINER'S SIGNATURE	DATE CONSIDERED
EXAMINER 3 SIGNATURE	DATE CONSIDERED

TITLE: SLEEP APNEA RISK EVALUATION

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<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).